

# Announcement of Federal Funding Opportunity

## Summary

### I. GENERAL INFORMATION

**A. Title of Award:** Concept Awards (CA).

**B. Program Name:** Department of Defense (DOD) Fiscal Year 2003 (FY03) Breast Cancer Research Program (BCRP).

**C. Funding Opportunity Number:** BC03-CA.

**D. Agency Name:** United States Army Medical Research and Materiel Command (USAMRMC), Office of the Congressionally Directed Medical Research Programs (CDMRP), 1077 Patchel Street, Fort Detrick, Maryland 21702-5024.

### E. Agency Contact(s):

**1. Questions related to the Program, proposal format, or required documentation** may be addressed to the CDMRP at:

Phone: 301-619-7079  
Fax: 301-619-7792  
E-mail: [cdmrp.pa@det.amedd.army.mil](mailto:cdmrp.pa@det.amedd.army.mil)  
Mail: Commander  
US Army Medical Research and Materiel Command  
ATTN: MCMR-PLF (BC03-CA)  
1077 Patchel Street (Building 1077)  
Fort Detrick, MD 21702-5024

**2. Questions related to electronic submission:** The help line phone number is 301-682-5507 and is also provided on the web. Other help desk contact information is:

Website: <https://cdmrp.org/proposals> (the proposal submission website)  
E-mail: [help-proposals-cdmrp@cdmrp.org](mailto:help-proposals-cdmrp@cdmrp.org)

**F. Anticipated Instrument Type(s):** Grants/Cooperative Agreements.

**G. Catalog of Federal Domestic Assistance (CFDA) Number(s):** 12.420; Military Medical Research and Development.

**H. Website Address to Access Application Package:** Proposals must be submitted electronically at <https://cdmrp.org/proposals>. The website contains all the information, forms, documents, and links you will need to apply.

**I. Award/Regulatory Approval:** Please note that each award mechanism has specific requirements regarding human subjects and animal use. Please see the full text of the Program Announcement for details pertaining to this award mechanism.

Once an award is made, the applicant may not use, employ, or subcontract for the use of any human subjects, human anatomical substances, or laboratory animals without express written permission from the applicable USAMRMC Regulatory Compliance and Quality (RCQ) office. USAMRMC RCQ will forward these express written approvals directly to the applicant with a copy furnished to the institution's Sponsored Programs Office (or equivalent).

## **II. FUNDING OPPORTUNITY DESCRIPTION**

The intent of the Concept Award is to fund the exploration of an initial concept or theory that could give rise to a testable hypothesis. Presentation of preliminary data is not consistent with the intent of this award mechanism. Thus, data from preliminary studies are discouraged. These awards are to encourage the exploration of untested, high-risk questions in breast cancer and are not intended to support the next step in an already established research project.

## **III. AWARD INFORMATION**

- Type of award: grant/cooperative agreement.
- A total of approximately \$20 million (M) is available for this award mechanism.
- It is anticipated that approximately 160 proposals will be funded.
- Funding for Concept Awards can be requested for a maximum of \$75,000 for direct costs over a 1-year performance period, plus indirect costs as appropriate.

## **IV. ELIGIBILITY INFORMATION**

**A. Applicants:** All individuals, regardless of ethnicity, nationality, or citizenship status, may apply as long as they are employed by, or affiliated with, an eligible institution.

**B. Institutions:** Eligible institutions include for-profit, non-profit, public, and private organizations.

**C. Cost Sharing:** It is expected that institutions will cost share. Please see "Major Equipment" located in Subsection V.F.2.c of the Full Text of the Program Announcement.

**D. Other Eligibility Criteria:** Please see the Full Text of Program Announcement description for details regarding duplicate submissions, applications from Historically Black Colleges and Universities/Minority Institutions, and administrative compliance issues.

## V. PROPOSAL PREPARATION AND SUBMISSION INFORMATION

**A. Proposal Information:** Applicants are required to submit the Proposal Information prior to upload of the proposal. Complete the Proposal Information as described at <https://cdmrp.org/proposals>.

**B. Proposal Preparation:** All proposals must be converted into an electronic PDF (Portable Document Format) file for electronic proposal submission. Please see the Full Text of Program Announcement for details.

**C. Submission Dates and Times:** Deadline Date: January 21, 2004. Proposals must be approved on the CDMRP eReceipt system by the Contract Representative at the applicant's institution's Sponsored Programs Office (or equivalent) by 5:00 p.m. (Eastern time).

**D. Electronic Submission Requirements: Electronic submission is required.** No paper copy submissions will be accepted. Proposals must be submitted electronically at <https://cdmrp.org/proposals>. Please see the Full Text of Program Announcement for details.

## VI. PROPOSAL REVIEW INFORMATION

The CDMRP uses a two-tiered review process for proposals: scientific peer review, followed by programmatic review. Details of both tiers of review can be found in the Full Text of Program Announcement.

## VII. AWARD ADMINISTRATION INFORMATION

**A. Award Notices and Administrative Requirements:** Details of award notification procedures and administrative requirements including Regulatory documents (Certificate of Environmental Compliance, Research Involving Human Subjects and/or Anatomical Substances, Research Involving Animals, and Safety Program Plan) can be found in the Full Text of Program Announcement.

**B. Reporting Requirements:** Annual reporting requirements apply.

# Full Text of Program Announcement

## I. GENERAL INFORMATION

**A. Title of Award:** Concept Award (CA).

**B. Program Name:** Department of Defense (DOD) Fiscal Year 2003 (FY03) Breast Cancer Research Program (BCRP).

**C. Funding Opportunity Number:** BC03-CA.

**D. Agency Name:** United States Army Medical Research and Materiel Command (USAMRMC), Office of the Congressionally Directed Medical Research Programs (CDMRP), 1077 Patchel Street, Fort Detrick, Maryland 21702-5024.

## E. Agency Contact(s):

**1. Questions related to the Program, proposal format, or required documentation.** Applicants should submit questions as early as possible. Every effort will be made to answer questions within 5 working days.

Phone: 301-619-7079  
Fax: 301-619-7792  
E-mail: [cdmrp.pa@det.amedd.army.mil](mailto:cdmrp.pa@det.amedd.army.mil)  
Mail: Commander  
US Army Medical Research and Materiel Command  
ATTN: MCMR-PLF (BC03-CA)  
1077 Patchel Street (Building 1077)  
Fort Detrick, MD 21702-5024

**2. Questions related to electronic submission:** Help lines will be available to answer specific questions regarding the preparation of proposals for electronic submission or the process of electronic submission. The help line phone number is 301-682-5507 and is also provided on the web. Other help desk contact information is:

Website: <https://cdmrp.org/proposals> (the proposal submission website)  
E-mail: [help-proposals-cdmrp@cdmrp.org](mailto:help-proposals-cdmrp@cdmrp.org)

**F. Anticipated Instrument Type(s):** The USAMRMC implements its extramural research program predominantly through the award of grants and cooperative agreements. More information on these funding instruments may be obtained by request from:

**Fax:** 301-619-2937  
**E-mail:** [qa.baa@det.amedd.army.mil](mailto:qa.baa@det.amedd.army.mil)  
**Mail:** Director  
US Army Medical Research Acquisition Activity  
ATTN: MCMR-AAA  
820 Chandler Street  
Fort Detrick, MD 21702-5014

**G. Catalog of Federal Domestic Assistance (CFDA) Number 12.420:** Military Medical Research and Development.

**H. Website to Access Application Package:** Proposals must be submitted electronically at <https://cdmrp.org/proposals>. This website will contain all the information, forms, documents, and links you will need to apply. If you experience difficulties in downloading documents, contact the CDMRP as indicated in Subsection E.1 above.

**I. Award/Regulatory Approval:** Please note that each award mechanism has specific requirements regarding human subjects and animal use. For Concept Awards, projects involving human subjects or specimens will not be supported unless they are exempt under 32 CFR 219.101(b)(4).<sup>1</sup> Studies that do not qualify for exempt status will be administratively withdrawn and will not be funded.

Once an award is made, the applicant may not use, employ, or subcontract for the use of any human subjects, human anatomical substances, or laboratory animals without express written permission from the applicable USAMRMC Regulatory Compliance and Quality (RCQ) office. USAMRMC RCQ will forward these express written approvals directly to the applicant with a copy furnished to the institution’s Sponsored Programs Office (or equivalent).

## II. FUNDING OPPORTUNITY DESCRIPTION

**A. Program History:** The Concept Awards are part of the DOD BCRP, which was established in FY92 to promote innovative research directed toward the eradication of breast cancer. Appropriations for the BCRP since FY92 total \$1.38 billion (B). The Program history of the FY92-02 BCRP is shown in Table 1. The FY03 appropriation is \$150 million (M). Of this, approximately \$20M will be available for Concept Awards.

**Table 1: History of the DOD’s Peer Reviewed BCRP**

<b>Program History</b>	<b>FY92-00</b>	<b>FY01</b>	<b>FY02</b>
Congressional Appropriations for BCRP	\$1.05B	\$175M	\$150M
Total Proposals Received	15,017	1,500	3,323
Total Proposals Funded	2,837	380	454
Concept Award Proposals Received	1,774	N/A	1,440
Concept Award Proposals Funded	301	N/A	154

**B. Program Objectives:** The overall goal of the FY03 BCRP is to promote research directed toward eradicating breast cancer. Within this context, the objective of the BCRP is to fund a balanced portfolio of scientifically meritorious research related to all aspects of breast cancer. Proposals are sought across all areas of laboratory, clinical, behavioral, and epidemiologic research including all disciplines within the basic, clinical, psychosocial, behavioral, sociocultural, and environmental sciences; nursing; occupational health; alternative therapies; public health and policy; and economics. Additionally, proposals that

<sup>1</sup> Title 32, Code of Federal Regulations, Part 219, Section 101(b)(4). Research involving collection or study of existing data, documents, records, pathological specimens, or diagnostic specimens, if these sources are publicly available or if the information is recorded by the investigator in such a manner that subjects cannot be identified, is considered to be exempt under 32 CFR 219.101(b)(4).

address the needs of minority, low-income, rural, and other underrepresented and/or medically underserved populations are encouraged.

The BCRP is challenging the scientific community to design innovative research that will foster new directions for, address neglected issues in, and bring new investigators to the field of breast cancer research. As in previous years, the central theme of the BCRP is innovation. Scientific ventures that represent under-investigated avenues of research or novel applications of existing technologies are highly sought. Although the BCRP encourages risk-taking research, such projects must nonetheless demonstrate solid scientific judgment and rationale.

**C. Award Mechanism Description:** The intent of the Concept Award is to fund the exploration of an initial concept or theory that could give rise to a testable hypothesis. Presentation of preliminary data is not consistent with the intent of this award mechanism. Thus, data from preliminary studies are discouraged. These awards provide investigators with the opportunity to pursue serendipitous observations; it is anticipated that research completed through a Concept Award may provide sufficient preliminary data to enable the investigator to prepare a hypothesis-based proposal for future research. Proposals must describe how the new concept or theory will enhance existing knowledge of breast cancer.

Topics of particular interest to the BCRP include, but are not limited to, systems biology, proteomics, computational biology, nanotechnology, early detection, and primary prevention. Concepts from complementary areas of science such as chemistry, biophysics, mathematics, engineering, etc. are encouraged as are research proposals submitted by consumers.

*Because these awards are designed for preliminary investigations, projects involving human subjects or human anatomical substances will not be supported through this mechanism unless they are exempt under 32 CFR 219.101(b)(4).<sup>2</sup> Studies that do not qualify for exempt status will be administratively withdrawn and will not be funded.*

The BCRP will be executing a fast-track proposal submission, review, and negotiation process for Concept Awards.

### III. AWARD INFORMATION

Funding for Concept Awards can be requested for a maximum of \$75,000 for direct costs over a 1-year performance period, plus indirect costs as appropriate. Projects requiring lower levels of funding may also be submitted. These funds can cover salary, expenses including research supplies, and travel to scientific/technical meetings. The amount allotted for travel is \$1,800 per year. In addition, funding should be requested for a one-time, 3½-day meeting in 2005 to disseminate the results of DOD-sponsored research.

The nature of the BCRP does not allow for renewal of grants or supplementation of existing grants. Depending on the quality and the number of proposals received, the CDMRP expects to allot approximately \$20M to fund approximately 160 Concept Awards.

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<sup>2</sup> Title 32, Code of Federal Regulations, Part 219, Section 101(b)(4). Research involving collection or study of existing data, documents, records, pathological specimens, or diagnostic specimens, if these sources are publicly available or if the information is recorded by the investigator in such a manner that subjects cannot be identified, is considered to be exempt under 32 CFR 219.101(b)(4).

#### IV. ELIGIBILITY INFORMATION

**A. Applicants:** All individuals, regardless of ethnicity, nationality, or citizenship status, may apply as long as they are employed by, or affiliated with, an eligible institution as defined below.

**B. Institutions:** Eligible institutions include for-profit, non-profit, public, and private organizations. Examples include universities, colleges, hospitals, laboratories, companies, and agencies of local, state, and federal governments. The USAMRMC is especially interested in receiving applications from Historically Black Colleges and Universities/Minority Institutions (HBCU/MI).

**C. Cost Sharing:** It is expected that institutions will cost share. Please see full details under “Major Equipment” located in Subsection V.F.2.c.

#### **D. Other Eligibility Criteria:**

**1. Duplicate Submissions:** Submission of the same research project to the BCRP under this award mechanism or to other CDMRP programs is discouraged. The Government reserves the right to reject duplicative proposals.

**2. HBCU/MI:** A goal of the DOD is to allocate funds for the CDMRP’s peer reviewed research to fund proposals from HBCU/MI. This provision is based upon guidance from Executive Orders.<sup>3</sup> Proposals submitted to the DOD are assigned HBCU/MI status if the submitting institution is so designated by the Department of Education on the date that the program announcement is released. The Department of Education list is posted on the CDMRP website under Minority Institutions at <http://cdmrp.army.mil/funding/pdf/mibcrp120803.pdf>.

**3. Administrative Compliance Issues:** Compliance guidelines have been designed to ensure the presentation of all proposals in an organized and easy-to-follow manner. Peer reviewers expect to see a consistent, prescribed format for each proposal. Nonadherence to format requirements makes proposals difficult to read, may be perceived as an attempt to gain an unfair competitive advantage, and may result in proposal rejection or a lower global priority score.

***The following will result in administrative rejection of the entire proposal prior to peer review:***

- Proposal body exceeds page limit.
- Proposal body is missing.
- Concept Award Cost Estimate is missing.
- Proposal is incomplete after the deadline.
- Required administrative documentation is not included.

For any other sections of a proposal with a defined page limit, any pages over the specified limit will be removed from the proposal and NOT forwarded for peer review.

Unless specifically requested by the Government, any material submitted after the submission deadline will not be forwarded for peer review.

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<sup>3</sup> Executive Orders 12876, 12900, and 13021

## V. PROPOSAL PREPARATION AND SUBMISSION INFORMATION

**A. Proposal Components Summary:** This subsection is a summary of submission requirements. Details, URLs, and other links are provided in the appropriate subsections of this program announcement.

The Principal Investigator (PI) is responsible for uploading the following information:

- **Proposal Information:** The Proposal Information consists of two parts, both of which are entered as data fields. A Letter of Intent is generated when Part 1 of the Proposal Information is saved.
- **Statement of Work (SOW) and Proposal Abstracts:** The SOW is entered as a separate data field. The Technical and Public Abstracts are not required for Concept Award submissions, but the appropriate data fields must be completed for final submission of the proposal. Please complete these fields by entering “Not Applicable for Concept Awards” in the appropriate data field(s).
- **Proposal:** The proposal is uploaded as a PDF (Portable Document Format) file under the “Required Files” tab.
- **Budget Information:** The budget information is uploaded as a PDF file under the “Required Files” tab.
- **Regulatory Documents:** The Certificate of Environmental Compliance and the Principal Investigator Safety Program Assurance form are each uploaded as separate PDF files under the “Required Files” tab.

The Contract Representative or institutional official responsible for sponsored program administration (or equivalent) from the applicant’s institution is responsible for the following:

- **US Army Medical Research Acquisition Activity (USAMRAA) Documents:** The institute’s currently negotiated “Rate Agreement,” “Certifications and Assurances for Assistance Agreements,” and the “Representations for Assistance Agreements” are to be uploaded as separate PDF files under the Contract Representative’s “My Profile” tab.
- **Approval:** The Contract Representative or institutional official responsible for sponsored program administration must provide approval of all proposal components (Proposal Information, SOW, Proposal, Budget Information, and Regulatory documents). Contract Representative approval must occur prior to the submission deadline of 5:00 p.m. (Eastern time) January 21, 2004. The eReceipt system will **not** accept data entry, file uploads, or approvals submitted after the 5:00 p.m. Eastern time January 21, 2004 deadline.

**B. Proposal Information:** Applicants are required to submit the Proposal Information, Parts 1 and 2, prior to upload of the proposal and the budget information. Complete the Proposal Information as described in <https://cdmrp.org/proposals>. The Proposal Information must include the e-mail address of a representative from the Sponsored Programs Office (or equivalent) who is authorized to negotiate on behalf of the institute.

- **Letter of Intent:** A Letter of Intent is not required for this award mechanism.

**C. SOW – 11,400-character limit, including spaces (approximately two pages):** The SOW is captured as a data field under the “SOW/Abstract” tab in the CDMRP eReceipt system. To submit the SOW, the applicant may either type in the SOW or “cut and paste” it from a word processing application



into the data field. Sample SOWs can be found at <https://cdmrp.org/samples.cfm>. The SOW will be utilized only during award negotiations.

The SOW is a concise restatement of the research proposal that outlines, step by step, how each of the major goals or objectives of the proposed research/services will be accomplished during the timeline for which the USAMRMC will provide financial support.

As appropriate, the SOW should:

- Describe the work to be accomplished as tasks (tasks may relate to specific aims),
- Identify the timeline and milestones for the work over the period of the proposed effort,
- Identify methods, and
- Identify outcomes, products, and deliverables for each phase of the project.

**D. Proposal Abstracts:** For the technical abstract and the public abstract, please enter “Not applicable for Concept Awards.” These entries are captured as separate data fields under the “SOW/Abstract” tab in the CDMRP eReceipt system and are required for final submission of your proposal.

**E. Proposal:**

**1. Format:** All proposals must be converted into an electronic PDF file for electronic submission. Proposals must be uploaded under the “Required Files” tab of the CDMRP eReceipt system. Applicants unfamiliar with the preparation of PDF files are encouraged to acquire appropriate software and learn the process before the submission deadline. To prepare proposals for PDF submission, the instructions in this subsection must be followed carefully.

The proposal must be clear and legible and conform to the following guidelines:

- Type Font: 12 point, 10 pitch.
- Type Density: No more than 15 characters per inch. (For proportional spacing, the average for any representative section of text should not exceed either 15 characters per inch or 114 characters per line.)
- Spacing: Single-spaced between lines of text, no more than five lines of type within a vertical inch.
- Margins: Minimum of 0.5-inch top, bottom, right, and 1-inch left.
- Color, Resolution, and Multimedia Objects: Not allowed for Concept Award submissions.
- Spell out all acronyms the first time they are used.
- Language: English.
- Print Area: 7.0 x 10.0 inches (approximately 18 cm x 25.5 cm).

**2. Title/Referral Page:** Not applicable for Concept Award submissions.

**3. Table of Contents/Checklist:** Not applicable for Concept Award submissions.

**4. Proposal Relevance Statement:** Not applicable for Concept Award submissions.

**5. Main Body: Start section on a new page; one-page limit. The body of the proposal should consist only of text. No figures, tables, graphs, photographs, diagrams, chemical structures, pictures, cartoons, schematics, pictorials, or pathways will be accepted.** It is the investigator's responsibility to clearly articulate how the proposed research is innovative and relevant to breast cancer research. Presentation of data from preliminary studies is not consistent with the intent of this award mechanism. However, for the proposal to be competitive, investigators must demonstrate logical reasoning and a sound scientific rationale established through a critical review and analysis of the literature. *Due to the blinded nature of the review process (see Subsection VI.A), references to the PI or institution are strongly discouraged and may be cause for administrative withdrawal of the proposal.*

Describe the proposed project using the outline provided below:

- a. **Background:** Provide a brief statement of the ideas and reasoning behind the proposed work. Describe previous experience most pertinent to this proposal. Cite relevant literature references.
- b. **Rationale/Purpose:** State the rationale for the proposed research.
- c. **Objectives:** State concisely the project's specific aims and research strategy.
- d. **Methods:** Discuss the experimental design and methodology. If the methodology is new or unusual, describe it in sufficient detail for evaluation.
- e. **Relevance:** Provide a brief statement in non-technical terms regarding the relevance of this work to breast cancer.

**6. References: Start section on a new page. Cite relevant literature references (maximum five).** List relevant references using a standard reference format that includes the full citation (i.e., author(s), year published, title of reference, source of reference, volume, chapter, page numbers, and publisher, as appropriate).

**7. Biographical Sketches:** Not required at the time of proposal submission.

**F. Budget Information:** Budget Information includes the one-page [Concept Award Cost Estimate form](#). Budget Information is uploaded under the "Required Files" tab of the CDMRP eReceipt system.

**1. Funding Restrictions:** Funding for the Concept Award is a maximum of \$75,000 for direct costs over a 1-year performance period, plus indirect costs as appropriate. These funds can cover salary, expenses including research supplies, and travel to scientific meetings. The amount allotted for travel is \$1,800 per year. In addition, funding should be requested for a one-time, 3½-day meeting in 2005 to disseminate the results of DOD-sponsored research.

**2. Indirect Costs (Overhead, General and Administrative, and Other):** The most recent rates, dates of negotiation, base(s), and periods to which the rates apply should be disclosed along with a statement identifying whether the proposed rates are provisional or fixed.

The following section provides instructions for preparing the one-page Concept Award Cost Estimate form. All amounts entered should be in U.S. dollars.

**a. Personnel:**

**i. Name:** Starting with the PI, list the names of all participants who will be involved in the project during the initial budget period, regardless of whether salaries are requested. Include all collaborating investigators, research associates, individuals in training, and support staff. Only **ONE** person may be identified as the PI of the proposal.

**ii. Role on Project:** Identify the role of each individual listed on the project.

**iii. Type of Appointment (Months):** List the number of months per year reflected in an individual's contractual appointment with the applicant organization. The DOD staff assumes that appointments at the applicant organization are full time for each individual. Individuals may have split appointments (e.g., for an academic period and a summer period). For each type of appointment, identify and enter the number of months on separate lines.

**iv. Annual Base Salary:** Enter the annual institutional base salary for each individual listed for the project.

**v. Percentage of Effort on Project:** For each key staff member identified on the budget form, list the percentage of each appointment to be spent on this project.

**vi. Salaries Requested:** Enter the salaries in whole dollar figures for each position for which funds are requested. The salary requested is calculated by multiplying an individual's institutional base salary by the percentage of effort on the project.

**vii. Fringe Benefits:** Fringe benefits may be requested in accordance with institutional guidelines for each position, provided the costs are treated consistently by the applicant organization for all sponsors. Documentation to support the fringe benefits should be provided.

**viii. Totals:** Calculate the totals for each position and enter these as subtotals in the columns indicated.

**b. Consultant Costs:** Regardless of whether funds are requested, provide the names and organizational affiliations of all consultants.

**c. Major Equipment:** It is the policy of the DOD that all commercial and non-profit recipients provide the equipment needed to support proposed research. In those rare cases where specific additional equipment is approved for commercial and non-profit organizations, such approved cost elements shall be separately negotiated. Moreover, it is expected that institutions will share 50% of the cost of equipment purchased for this research proposal when individual equipment costs are equal to or exceed \$2,500.

**d. Materials, Supplies, and Consumables:** A general description and total estimated cost of expendable equipment and supplies are required. Itemize supplies in separate categories (e.g., glassware, chemicals, radioisotopes). Categories in amounts less than \$1,000 do not need to be itemized. If animals are to be purchased, state the species, strain (if applicable), and the number to be used. If human cell lines are to be purchased, state the source and the description.

**e. Travel Costs:** Travel costs may not exceed \$1,800 per year. In addition, funding should be requested for a one-time, 3½-day Breast Cancer Era of Hope meeting in 2005 to disseminate the results of DOD-sponsored research.

**f. Other Expenses:** Itemize other anticipated direct costs such as publication and report costs, rental for computers and other equipment (provide hours and rates), and communication costs. Unusual or expensive items should be fully explained and justified. Estimate the costs of publishing and reporting research results, including direct charges for clerical preparation, illustrations, reprints, and distribution.

**g. Subaward Costs:** A description of services or materials that are to be awarded by subcontract or subgrant is required. For awards totaling \$10,000 or more, provide the following specific information:

- Identification of the type of award to be used (e.g., cost reimbursement, fixed price);
- Identification of the proposed subcontractor or subgrantee, if known, and an explanation of why and how the subcontractor or subgrantee was selected or will be selected;
- Whether the award will be competitive and, if noncompetitive, rationale to justify the absence of competition; and
- The proposed acquisition price.

**h. Indirect Costs (Overhead, General and Administrative, and Other):** The most recent rates, dates of negotiation, base(s), and periods to which the rates apply should be disclosed along with a statement identifying whether the proposed rates are provisional or fixed.

**G. Regulatory Requirements:** Completed and signed copies of the “[Certificate of Environmental Compliance](#)” and “[Principal Investigator Safety Program Assurance form](#)” must be uploaded under the “Required Files” tab of the CDMRP eReceipt system as separate PDF files.

Do not submit other Regulatory documents (for example, documents supporting research exempt under 32 CFR 219.101(b)(4) or Research Involving Animals) with the proposal. Instead, the applicant should provide these documents to the USAMRMC only upon request.

**H. USAMRAA Documents:** The most current version of the institution’s negotiated “Rate Agreement,” the “[Certifications and Assurances for Assistance Agreements](#),” and the “[Representations for Assistance Agreements](#)” must be uploaded by the Contract Representative from the Sponsored Programs Office (or equivalent). These documents must be uploaded as separate PDF files under the Contract Representative’s “My Profile” tab of the CDMRP eReceipt system prior to negotiations.

**I. Submission Dates and Times: Proposals must be approved on the CDMRP eReceipt system by the Contract Representative at the applicant’s institution’s Sponsored Programs Office (or equivalent) by the deadline.** If your proposal is either incomplete or not approved electronically before the deadline, it will not be considered for review. The eReceipt system will **not** accept data entry, file uploads, or approvals submitted after the 5:00 p.m. Eastern time January 21, 2004 deadline.

**The timeline for the Concept Awards is:**

Online Proposal Information:	Prior to proposal submission
<b>Proposal Submission/Approval Deadline:</b>	<b>5:00 p.m. Eastern Time January 21, 2004</b>
Request for Additional Documents:	May 2004
Notification Letter:	May 2004
Award Start Date	By September 30, 2004

**J. Electronic Submission Requirements:** Electronic submission is required. Proposals will be accepted only as PDF files submitted through the CDMRP eReceipt system at <https://cdmrp.org/proposals>.

Several steps are critical to successful proposal submission.

- The Proposal Information must be submitted prior to submission of the proposal. Applicants are encouraged to begin this part of the submission process early.
- The e-mail address of a Contract Representative from the Sponsored Programs Office (or equivalent) must be included.
- Applicants are encouraged to coordinate early with their Sponsored Programs Office.
- The Contract Representative from the Sponsored Programs Office (or equivalent) who is authorized to negotiate on behalf of the institution is required to provide final approval before the proposal is accepted.
- The eReceipt system will **not** accept data entry, file uploads, or approvals submitted after the 5:00 p.m. Eastern time January 21, 2004 deadline.
- Any supporting documentation that the applicant includes with the proposal must be incorporated into the PDF file prior to upload.
- Budget Information must be uploaded under the “Required Files” tab of the CDMRP eReceipt system.
- The Regulatory documents required at submission include a completed, signed Certificate of Environmental Compliance and a completed, signed Principal Investigator Safety Program Assurance form. These must be uploaded under the “Required Files” tab of the CDMRP eReceipt system.

## **VI. PROPOSAL REVIEW INFORMATION**

### **A. Proposal Review and Selection Overview:**

**1. Process: This will be a blinded review process; the PI and institution names will not be provided during the review process.**

The CDMRP uses a two-tiered review process for proposal evaluation. The two tiers are fundamentally different. The first tier is a scientific peer review of proposals against established criteria for determination of scientific merit. The second tier is a programmatic review of proposals that compares submissions to each other and recommends proposals for funding based on scientific merit as well as overall Program goals.

**2. Peer Review:** Peer review is conducted by panels organized according to scientific discipline or specialty area. The primary responsibility of the peer review panels is to provide unbiased, expert advice on the scientific/technical merit and relevance of proposals, based upon the review criteria published for each award mechanism.

Peer review panels are composed of scientific reviewers, consumer reviewers, and a nonvoting scientific review administrator. Scientific reviewers are selected based on their expertise and their experience with scientific peer review. Consumer reviewers are nominated by an advocacy or support organization and are selected on the basis of their leadership skills, commitment to advocacy, and interest in science. Consumers augment the peer review by bringing the patient perspective to the assessment of science and to the relevance of research.

**3. Programmatic Review:** The second tier is programmatic review. Programmatic review is accomplished by the Integration Panel (IP), which is composed of scientists, clinicians, and consumer advocates. The scientific members of the IP represent diverse disciplines and specialty areas, and the consumer members represent national advocacy constituencies. One of the functions of programmatic review is to maintain a broad portfolio of grants across all disciplines. Programmatic review is a comparison-based process in which proposals from multiple research areas compete in a common pool. IP members primarily use the scores from the peer reviewers and the one-page Concept Award proposal.

HBCU/MI proposals will be reviewed concurrently with all others in the same research area during scientific peer review, but may be evaluated separately during programmatic review. Consistent with the CDMRP's goal, recommendations for funding HBCU/MI submissions will be based upon scientific excellence and Program relevance.

## **B. Review Criteria:**

**1. Peer Review:** Scientific peer review will focus on the intent of the Concept Award mechanism to encourage the exploration of untested, innovative questions in breast cancer. Only the proposal body and the references will be forwarded for review.

Concept Award proposals will be evaluated according to the criteria listed below:

- **Innovation and Novelty of Concept:** Is the proposed concept innovative? Is the concept in the initial stage of development? Is the concept untested? If successful, will this concept give rise to a testable hypothesis? If successful, does this proposal hold the potential to make a significant impact? Are logical reasoning and a sound scientific rationale the basis for this proposal?
- **Disease Relevance:** Does this study address a critical problem in breast cancer research? What will be the effect of this study on the concepts or methods that drive this field? To what extent will the project, if successful, lead to an original and important contribution to the prevention, detection, diagnosis, or treatment of breast cancer?

Proposals will be evaluated, prioritized according to score, and sent forward for programmatic review.

**2. Programmatic Review:** The ratings of peer reviewers are primary factors in programmatic review. The IP also considers other criteria to maintain the BCRP's broad portfolio. The criteria the IP uses to make funding recommendations are:

- Ratings of the peer reviewers;
- Programmatic relevance;
- Relative innovation;
- Program portfolio balance; and
- Adherence to the award mechanism.

Scientifically sound proposals that best fulfill the above criteria and most effectively address the unique focus and goals of the Program are selected by the IP and recommended to the Commanding General, USAMRMC, for funding.

## VII. AWARD ADMINISTRATION INFORMATION

**A. Award Notices:** After the two-tiered evaluation process is completed, every applicant will receive notification of the award status of his or her proposal. Peer review summary statements will not be provided to the applicants. Applicants can expect to be notified of the agency's decision in May 2004.

**B. Administrative Requirements:** All awards are made to organizations, not individuals. A PI should submit a proposal through, and be employed by or affiliated with, a university, college, non-profit research institute, commercial firm, or government agency (including military laboratories) in order to receive support. To be eligible for award, a prospective recipient should meet certain minimum standards pertaining to institutional support, financial resources, prior record of performance, integrity, organization, experience, operational controls, facilities, and conformance with safety and environmental statutes and regulations (Office of Management and Budget Circular A-110 and DOD Grant and Agreement Regulations). Any organization requesting receipt of an award from this announcement must be registered in the Central Contractor Registration (CCR) database. Access to the CCR online registration is through the CCR homepage at <http://www.ccr.gov>.

**No changes in the institution will be allowed for Concept Awards.**

**C. Award Negotiation:** Award negotiation consists of discussions, reviews, and justifications of critical issues involving USAMRAA. A Contract Specialist from USAMRAA will contact the Contract Representative from the Sponsored Programs Office (or equivalent) who is authorized to negotiate contracts and grants at the applicant's institution. As part of the negotiation process, additional documentation and justifications related to the proposed SOW and associated budgets may be required. In addition, both technical and public abstracts will be requested at this time.

Note that the award start date will be determined during the negotiation process.

### **D. Regulatory Review:**

**1. Overview:** Concurrent with the USAMRAA negotiations, the office of Surety, Safety and Environmental will review the Certificate of Environmental Compliance, and Principal Investigator Safety Program Assurance form submitted with the proposal. The USAMRMC RCQ office will review documents related to Research Involving Animal Use and Research Involving Human Subjects/Anatomical Substance Use submitted upon request to ensure that Army regulations are met.

**2. Certificate of Environmental Compliance:** The [Certificate of Environmental Compliance](#) must be submitted with the proposal. If multiple research sites/institutions are funded in your proposal, then a Certificate of Environmental Compliance for each site will be requested at a later date.

**3. Safety Program Documents:** The [Principal Investigator Safety Program Assurance form](#) must be submitted with the proposal.

A Facility Safety Plan is also required and will be requested at a later date. However, your institution may already have an approved Facility Safety Plan. To determine the status of approval, check the USAMRMC website at <http://mrmc-www.army.mil/crprcsohdfsplan.asp>. If your institution is not listed on the aforementioned website, contact your Facility Safety Director/Manager to initiate completion of the institution-based Facility Safety Plan. Specific requirements for the Safety Program Plan can be found at <http://mrmc-www.army.mil/docs/rcq/FY02FSPAppendix.doc>.

If multiple research sites/institutions are funded in your proposal, then a Facility Safety Plan for each site/institution not listed in the aforementioned website will be requested at a later date.

**4. Research Involving Animal Use:** Animal use documents should not be submitted with the proposal and will be requested at a later date. Specific requirements for research involving animals can be found at <http://mrmc-www.army.mil/docs/rcq/FY02AnimalAppendix.doc>.

**5. Research Involving Human Subjects/Anatomical Substances:** Projects involving human subjects or specimens **will not be supported** through this mechanism unless they are exempt under 32 CFR 219.101(b)(4).<sup>4</sup> For exempt projects, documents supporting the exempt status of a project will be requested at a later date. These documents shall include local Institutional Review Board approval of the project stating the level of risk and the USAMRMC RCQ Claim of Exemption form. **It is important to note that the DOD considers cell lines of human origin to be human anatomical substances.** All exempt projects, including those using human cell lines are subject to RCQ review and approval. Specific requirements for research involving human subjects and/or anatomical substances can be found at <http://mrmc-www.army.mil/docs/rcq/HSAppendix19Feb02.pdf>

**6. Award/Regulatory Approval:** Please note that each award mechanism has specific requirements regarding human subjects and animal use. For Concept Awards, projects involving human subjects or specimens will not be supported unless they are exempt under 32 CFR 219.101(b)(4).<sup>4</sup> Studies that do not qualify for exempt status will be administratively withdrawn and will not be funded.

Once an award is made, the applicant may not use, employ, or subcontract for the use of any human subjects, human anatomical substances, or laboratory animals without express written permission from the applicable USAMRMC RCQ office. USAMRMC RCQ will forward these express written approvals directly to the applicant with a copy furnished to the institution's Sponsored Programs Office (or equivalent).

**E. Reporting:** All Concept awards will require the timely delivery of an annual report for each year of the research effort. Each annual report must present a detailed summary of scientific issues and accomplishments for the previous year.

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<sup>4</sup> Title 32, Code of Federal Regulations, Part 219, Section 101(b)(4). Research involving collection or study of existing data, documents, records, pathological specimens, or diagnostic specimens, if these sources are publicly available or if the information is recorded by the investigator in such a manner that subjects cannot be identified, is considered to be exempt under 32 CFR 219.101(b)(4).



## VIII. OTHER INFORMATION

**A. Disclosure of Proprietary Information outside the Government:** By submission of a proposal, the applicant understands that proprietary information may be disclosed outside the Government for the sole purpose of technical evaluation. The USAMRMC will obtain a written agreement from the evaluator that proprietary information in the proposal will only be used for evaluation purposes and will not be further disclosed or utilized. Funded proposals may be subject to public release under the Freedom of Information Act; proposals that are not selected for funding will not be subject to public release.

**B. Government Obligation:** Applicants are cautioned that only an appointed Contracting/Grants Officer may obligate the Government to the expenditure of funds. No commitment on the part of the Government to fund preparation of a proposal or to support research should be inferred from discussions with a technical project officer. Applicants who, or organizations that, make financial or other commitments for a research effort in the absence of an actual legal obligation signed by the USAMRAA Contracting/Grants Officer do so at their own risk.

**C. Information Service:** Offerors may use the technical reference facilities of the National Technical Information Service, 5285 Port Royal Road, Springfield, Virginia, 22161, for the purpose of surveying existing knowledge and avoiding needless duplication of scientific and engineering effort and the expenditure thereby represented. To the extent practical, all other sources should also be consulted for the same purpose.

**D. Inquiry Review Panel:** Applicants can submit a letter of inquiry to the USAMRMC in response to funding decisions made for a given proposal. Members of the CDMRP staff, USAMRMC Judge Advocate General staff, and USAMRAA Grants Officers constitute an Inquiry Review Panel and review each inquiry to determine whether factual or procedural errors in either peer or programmatic review have occurred, and if so, what action should be taken.

**E. Title to Inventions and Patents:** In accordance with the Bayh-Dole Act (35 USC 200 et seq.<sup>5</sup>), title to inventions and patents resulting from such federally funded research may be held by the grantee or its collaborator, but the U.S. Government shall, at a minimum, retain nonexclusive rights for the use of such inventions. An investigator must follow the instructions in the assistance agreement concerning license agreements and patents.

**F. J-1 Visa Waiver:** It is the responsibility of the awardee to ensure that the research staff is able to complete the work without intercession by the DOD for a J-1 Visa Waiver on behalf of a foreign national in the United States under a J-1 Visa.

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<sup>5</sup>Title 35, United States Code, Section 200 et seq.

## IX. ACRONYM LIST

AVI	Audio Video Interleave
B	Billion
BCRP	Breast Cancer Research Program
CA	Concept Award
CCR	Central Contractor Registration
CDMRP	Congressionally Directed Medical Research Programs
CFDA	Catalog of Federal Domestic Assistance
DOD	Department of Defense
FY	Fiscal Year
HBCU/MI	Historically Black Colleges and Universities/Minority Institutions
HSRRB	Human Subjects Research Review Board
IP	Integration Panel
M	Million
MB	Megabyte
MPEG	Moving Picture Experts Group
PDF	Portable Document Format
PI	Principal Investigator
RCQ	Regulatory Compliance and Quality
SOW	Statement of Work
USAMRAA	US Army Medical Research Acquisition Activity
USAMRMC	US Army Medical Research and Materiel Command
USC	United States Code
WAV	Wave